

MEET THE

MOMENT

THE MOMENT IS NOW

DEAR **ROCA FRIENDS**,

Throughout this year of unprecedented challenges, I often find it hard to stay in the present-to be in the moment, and take the moment for all that it's worth. As my thoughts drift between yesterday's news stories and tomorrow's possibilities, it's hard to know what social, economic, or public health travesty to address first.

At Roca, though, this pressure keeps us centered. As urban violence spikes in the wake of social unrest, systemic racism and a global pandemic, we maintain an unshakeable focus on those young people at the highest risk to shoot or be shot—on meeting these young people where they are, in the moment they are in. No matter the place. No matter the time.

To meet this moment is critical, because the nature of urban violence is not what it used to be. Trauma is more widespread. The daily experience of danger, loss of life and deepening impoverishment brought about by COVID-19 has intensified the toxic stress already faced by young people living in our most disenfranchised communities. Greater social isolation has fueled increased levels of PTSD, depression, and substance abuse. Scientists and researchers agree that exposure to trauma disrupts neurological development, leading to social, emotional, and cognitive impairment—most tragically, to casual homicides driven by instantaneous reaction, often fueled by social media, and ruled by fear. Young people who have experienced extreme levels of trauma, like those Roca serves, are more likely to engage in violence, and the more trauma they have, the more likely it is.

Many would argue that we must address the causes of this trauma—like poverty and systemic racism. While right and true, we know these things take time and, while we fight those causes, we can't wait for them to be solved. We have to save lives now. We must stop the bleeding and work to break the cycle, one shooter and one victim at a time. Roca does this on the streets every day, armed with a seven-skill cognitive-behavioral theory (CBT) approach that is profoundly empowering and helps young people recover from trauma, gain agency over their choices, and reclaim their lives.

Advancements in brain science have taught us that you can't just convince a young person to do something different if that person is stuck in survival mode and cannot access their pre-frontal cortex for healthy decision making. What does this mean? It means we have to help young people manage their trauma before they can do anything else. Jobs, GED programs, even credible messengers are not enough—that's why Roca staff are equipped with the trauma-informed tools and strategies that young people need to change behaviors and interrupt violence immediately.

While it may seem obvious, it is worth stating that violence prevention, while critical in and of itself, is not the same as intervention. Intervention is a specialized response to the violence happening now. It involves a relentless focus on those most likely to shoot or be shot, requires comprehensive safety and risk mitigation, and—most importantly: 1) addresses trauma, 2) provides a program length that supports the time needed to make sustained changes in behavior, and 3) requires cross-system coordination that includes, at a minimum, the cooperation of law enforcement and community partners over the long term.

I'm so proud to share with you in the following pages the ways in which Roca met the moment time and again in 2021. Roca transformed our Intervention Model into a truly portable, truly hybrid virtual and in-person practice—a timely upgrade to our work that served us well in an increasingly unpredictable pandemic. This will continue to serve our voung people in an era when violence is much more mobile, often enflamed by social media platforms intended to give permanence and immutability to even the most harmful messages. We launched the Roca Impact Institute to deliberately expand our systemic reform work—and impact communities across the country. We also tested the efficacy of our work through two third-party evaluations of our model. These evaluations, each of which was three years in duration, proved that what we do with young people works, and the sooner they receive services, the better they do. We opened a new site in Hartford, CT, formally expanding our direct services to a third state.

I believe that every moment of every life is precious. Perhaps what we need at this precise, delicate moment is an influx of grace. An eight-to-ten second pause, a few breaths in and out, as we learn from CBT—so we can act as opposed to react. So we can teach, apply and practice the tried and tested emotional skills that truly change us, rewire our thinking, reframe our perspectives and impact how we move through this world.

This is the moment to focus on the problem we can solve. As community violence intervention specialists, Roca knows that change is possible. With time, evidence-based tools, and a little grace, it happens for young people, for new parents, for police veterans and presidents.

We could not do this vital work without the support and partnership of so many. Thank you for meeting this moment with Roca. Thank you for helping us do this work, at this moment, now.

Sincerely,

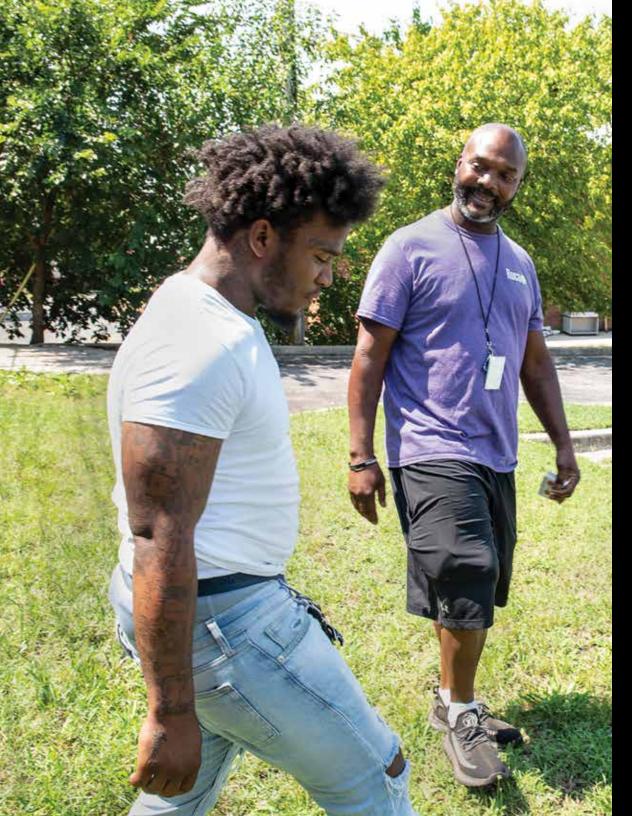
Molly Baldwin

MOLLY BALDWIN Founder & Chief Executive Officer

ROCA'S MISSION IS TO BE A **RELENTLESS FORCE** IN DISRUPTING INCARCERATION. **POVERTY, AND RACISM BY ENGAGING THE** YOUNG ADULTS. POLICE. AND SYSTEMS AT THE **CENTER OF URBAN VIOLENCE IN RELATIONSHIPS TO ADDRESS TRAUMA.** FIND HOPE, AND DRIVE CHANGE.



elp Roca's young people meet the moment today: donate.rocainc.org/Roca2021Appeal



44

Perhaps most important, they are traumatized — deeply wounded and haunted by the violence they have already experienced in life. Studies show higher rates of post-traumatic stress disorder among these men than among veterans of the wars in Iraq, Afghanistan and Vietnam. ... Reach them and you can stem our nation's problem with violent crime.

—THOMAS ABT, AUTHOR OF BLEEDING OUT: THE DEVASTATING CONSEQUENCES OF URBAN VIOLENCE—AND A BOLD NEW PLAN FOR PEACE IN THE STREETS



OUR SINGULAR FOCUS

WE WORK WITH THE **YOUNG ADULTS**AND **SYSTEM PARTNERS** AT THE CENTER OF URBAN VIOLENCE.



YOUNG ADULTS AT THE CENTER OF URBAN VIOLENCE

16-to-24-year-olds who have experienced extensive trauma and are the primary victims or drivers of urban violence

- Most likely to shoot or be shot
- Involved in the criminal justice system
- Have low literacy and typically no high-school degree or GED
- Have no formal or sustained employment history
- Involved with guns, gangs, and drugs
- Have failed out or dropped out of programs, schools, & jobs
- May be young parents

TO WHAT END? Healing from trauma. Reduced violence and incarceration. Improved parenting. Individual agency restored.



SYSTEM PARTNERS AT THE CENTER OF URBAN VIOLENCE

Adults in critical public systems that impact young people and influence urban violence

- Public safety leaders
- Police officers
- Judges, probation officers, and court system officials
- Corrections officers
- Prosecutors and defense attorneys
- Child protective services

TO WHAT END? Transformed system leaders. Cops and young people that understand each other. More equitable and just policy and practices.



WHAT IT TAKES

THERE ARE NO SHORTCUTS TO VIOLENCE INTERVENTION.

We work with one individual at a time—one young person, one police officer, one sheriff—using science-based tools and strategies for healing and change. And we work with each person for years at a time, because that's how long change takes.

ROCA'S INTERVENTION MODEL



1 CREATE SAFETY AND STABILITY

Roca builds trust with young people through relentless outreach—even through setbacks. Because you can't undo a lifetime of trauma overnight, Roca youth workers develop deep relationships over 2-4 years that help young people begin to heal from their hurt and anger, the key to success later on.



2 TEACH LIFE-SAVING SKILLS

We teach a relatable and simple version of cognitive behavioral theory (CBT) in the streets, so young people can choose how they want to respond, disrupt negative cycles, and take control over their lives.



3 PRACTICE SKILLS, RELAPSE, AND REPEAT

Roca provides traumainformed employment,
education, parenting, and
life skills programs that serve
as spaces for young people to
change, relapse, and change
further—not when they are
"ready" to participate, but while
they struggle to move steadily
forward. Relapse is a critical
stage of change.



4 ENGAGE INSTITUTIONS AND SYSTEMS

We relentlessly and intentionally partner with police departments and systems that directly face urban violence. We build meaningful relationships, coordinate intervention strategies, and share data to improve outcomes for young people.





What does it take?



12-14 door knocks on average in Baltimore and **8-10 door knocks** in Massachusetts—just until they open the door. Then the real work begins to build a relationship, create safety and trust, and teach, apply and practice life-saving CBT skills.



1,240

YOUNG PEOPLE SERVED

IN 21 COMMUNITIES
IN MASSACHUSETTS AND
BALTIMORE, MARYLAND

84%

OF YOUNG MEN
ENROLLED 2+ YEARS HAD
NO NEW ARRESTS



ONLI

Help Roca's young people meet the moment today: donate.rocainc.org/Roca2021Appeal



A YEAR LIKE NO OTHER

ROCA OPERATED AT **FULL CAPACITY** DURING THE PANDEMIC AND **IMPROVED OUR RESULTS**.

FY 2021 saw some of Roca's highest program retention and engagement rates.

PROGRAM RETENTION

Percentage of participants retained in the Intervention Model throughout the year



86% of young men*

94% of CENTRAL AMERICAN YOUTH

90% of young mothers

PARTICIPATION

Percentage of participants that engaged in programming



86% of Young MEN**

83% of CENTRAL AMERICAN YOUTH

96% of young mothers

*89% of Massachusetts young men and 77% of Baltimore young men *85% of Massachusetts young men and 88% of Baltimore young men

CHANGING THE WAY WE WORK OVERNIGHT

VIRTUAL PROGRAMMING WAS BORN OUT OF NECESSITY. WE MADE THE MOST OF IT.

New ways to engage those hardest to reach will strengthen our Intervention Model for years to come.

Programs now delivered:

- In person
- Phone
- Chromebooks
- Facebook Live
- Facebook Messenger Groups
- WhatsApp
- Zoom

MORE THAN 400 **CHROMEBOOKS AND HOTSPOTS** DISTRIBUTED TO

YOUNG PEOPLE

MORE THAN

100 **TABLETS WITH WIRELESS** CONNECTIVITY

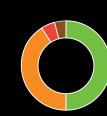
DISTRIBUTED TO ROCA YOUTH WORKERS EDUCATORS, AND CREW **SUPERVISORS**

ALL

YOUTH WORKERS. INSTRUCTORS, AND SUPERVISORS

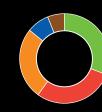
TRAINED IN **DIGITAL** LITERACY





PARTICIPANTS

- Black or African American 50%
- Hispanic/Latino 41%
- White 5%
- Two or more races 4%



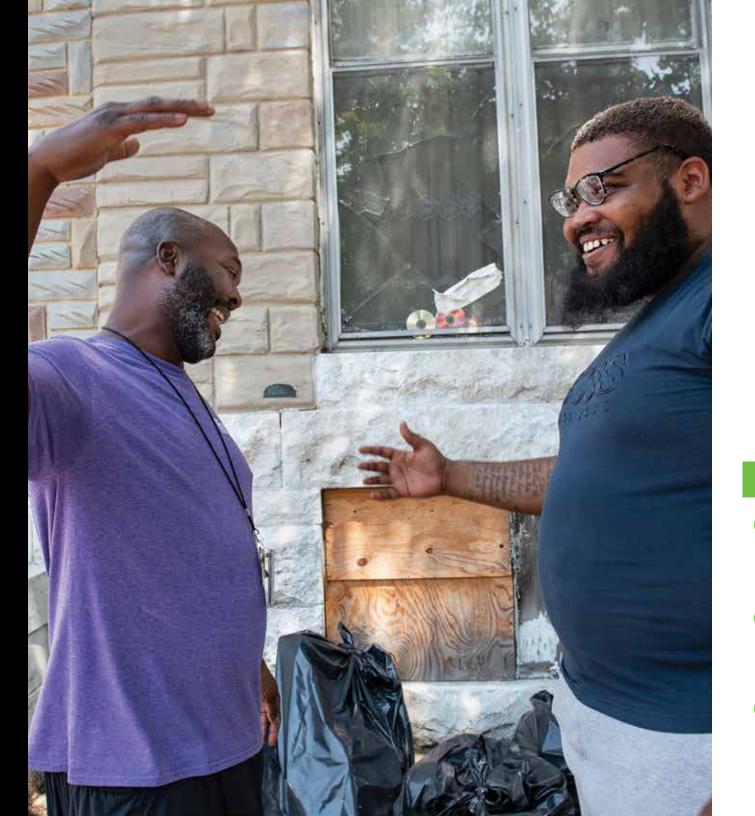
STAFF

- Black or African American 31%
- White 29%
- Hispanic/Latino 26%
- Asian 8%
- Two or more races 6%



BOARD OF DIRECTORS

- White 47%
- Black or African American 34%
- Hispanic/Latino 13%
- Asian 1%



JUSTICE IS A VERB

WE INTENTIONALLY CHOOSE TO COME TOGETHER AND USE OUR DIFFERENCES TO MAKE US STRONGER; REJECT ALL BIGOTRY, RACISM, AND HATE; AND LEAD WITH LOVE, NOT FEAR.

As racism perpetuates cycles of fear and deep trauma, we focus our work on healing. In the face of divisiveness aimed at driving us further apart, we focus on relationships and community. In the face of misinformation designed to confuse and overwhelm, we seek knowledge and build cognitive behavioral skills that empower us to act.

How Roca's Building A Race Equity Culture*

- 1 Senior Leaders & Managers Increasing representation across all levels. Strengthening leadership and accountability. Engaging in extensive training and development opportunities.
- 2 **Board of Directors** Diversifying representation, commissioning champions. Learning and embracing principles and actions of racial justice to better serve the organization.
- **3 Community** Collecting and acting on feedback through peacemaking circles and surveys. Cross-systems coordination to reduce incarceration and violence.

- 4 Learning Environment & Culture Supporting teams to drive anti-racist work
 across organization. Driving learning across
 the organization, making the DEI work
 related to the mission explicit.
- **Data** Using disaggregated data to ensure DEI representation, completed initial compensation review. Increased salaries for frontline staff.

*Framework developed by Pro-Inspire, customized by Roca



44

We can't afford to not approach our work from a diversity, equity and inclusion lens when we are serving critical risk youth who continue to be impacted by structural racism on a regular basis.

-CHIANTA MACK, ASSISTANT DIRECTOR
OF LEARNING AND DEVELOPMENT

59% OF YOUNG MEN*, **74%** OF CENTRAL AMERICAN

73%

YOUTH, AND

OF YOUNG WOMEN WHO PRACTICED CBT MADE **MEASURABLE IMPROVEMENTS IN** BEHAVIORAL HEALTH

*52% of Massachusetts young men and 84% of Baltimore young men WHY REWIRE CBT WORKS: Focused on teaching basic concepts via a flexible, low-threshold approach. Designed to be delivered by those who work with young people the most - youth workers, front-line staff, criminal justice providers, etc. Simple, relatable approach focusing on seven core life skills specifically relevant to young people actively engaged in violence. Fully mobile curriculum, offered in frequent "doses;" intended to be delivered anywhere a voung person's available. Built on foundational, trauma-informed strategies.

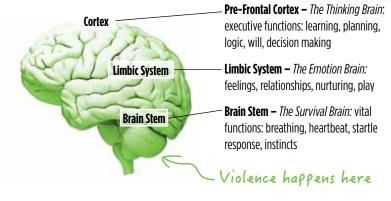
A MOMENT THAT SAVES LIVES

OUR YOUTH WORKERS BRING COGNITIVE-BEHAVIORAL THEORY (CBT) SKILLS TO THE STREETS TO HELP YOUNG PEOPLE LEARN TO TAKE AN 8-10 SECOND PAUSE SO THEY CAN ACT AS OPPOSED TO REACT.

What Brain Science Tells Us About Violence

You can't just talk a young person out of committing gun violence - because few talk themselves into it in the first place. Most bloodshed in our cities happens reactively. Instantaneously. Without forethought. This is the impact of trauma on the brain.

While we can't undo the trauma or excuse the systemic racism that young people face, Rewire gives them a profoundly empowering tool to access their pre-frontal cortex and reclaim the power of choice.





Our brains can change at any time, but when is the brain most plastic?

- 0-5 years old:
- 18-24 years old: and
- After having a baby



-SULAI ROSA, RE-ENTRY COORDINATOR

Rewire by Roca is not just

for young people - it's for

center of violence. When I

first started working with

the wall, they had the

corrections officers behind

attitude of 'This kid's never

gonna change.' It was just

'Go to segregation.' After

about 'What can we

his trauma?' It's a

huge shift.

Rewire training it was more

do to help him with

everyone working at the



Learn more about the CBT delivered by Roca: rocainc.org/how-we-do-it/our-intervention-model/

IN MASSACHUSETTS IN 2018-2020,

OF THE **TWO THIRDS** OF YOUNG MEN WHO CAME TO ROCA WITH A VIOLENT RECORD

80% STOPPED ENGAGING IN VIOLENCE

70%
OF ROCA YOUNG MEN
DID NOT RECIDIVATE
WITHIN 3 YEARS

COMPARED TO THE STATE RECIDIVISM RATE OF 52-56% FOR YOUTH AGES 18-24 YEARS OLD

ENROLLMENT IN
TRANSITIONAL
EMPLOYMENT - A CBT
"ACCELERATOR" AT ROCA
- REDUCED THE
ODDS OF VIOLENT
RECIDIVISM BY
66%



WHAT WE KNOW

ROCA'S INTERVENTION MODEL WORKS.

Abt Associates completed a three-year implementation evaluation of Roca's Intervention Model and a three-year outcomes evaluation of Roca's CBT curriculum. These studies found that Roca reduces the recurrence of violence and recidivism while increasing young people's readiness for employment. And the sooner we deliver CBT to shooters and victims, in a clear and simple way, the better they do in the long run.

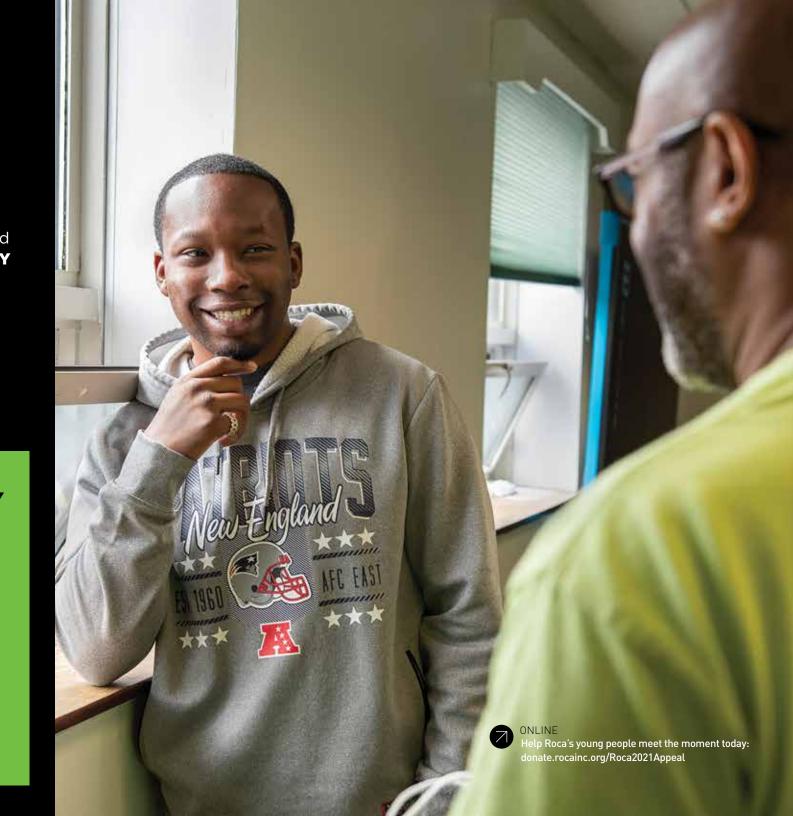


ACROSS ROCA:

- Increased monthly CBT programming attendance
 DECREASED THE PROBABILITY OF AN ARREST for any offense.
- Increases in the number of different CBT skills reviewed increased the PROBABILITY OF EMPLOYMENT.
- More frequent engagement with CBT was associated with USING DRUGS LESS OFTEN.

YOUNG PEOPLE USED CBT MOST COMMONLY TO COPE WITH:

- **Violence** (67%)
- Relationships problems (59%)
- **Job loss** (50%)
- **Educational setbacks** (38%)
- **COVID-19** (35%)
- Childcare issues (23%)





CBT hit me hard, for real. I'm gonna keep doing it to get me where I'm supposed to be.

-SHYHEIM, ROCA BALTIMORE PARTICIPANT



OUR THIRD YEAR IN BALTIMORE DURING A PANDEMIC, MORE THAN 4 OUT OF 5 BALTIMORE YOUNG MEN SHOWED **MEASURABLE IMPROVEMENTS** IN BEHAVIORAL HEALTH.

More than four out of five young men enrolled two or more years also had no new arrests—a result directly related to CBT practice.

Roca Baltimore curbed retaliatory violence in 2021.

- Ran a city-wide After-Shooting Protocol providing 24-28 hour door knocks to non-fatal shooting victims, resulting in 40 new Roca enrollees to date.
- Ensured that young people completed at least two cycles of Rewire CBT within their first year.
- Deepened partnership with Baltimore system partners— Police, Parole and Probation, and the MD Department of Juvenile Services.



Hear directly from our Baltimore young men: https://rocainc.org/who-we-are/voices/

240 YOUNG MEN **SERVED**

77%

RETAINED IN THE MODEL THROUGHOUT THE YEAR

88%

PARTICIPATED IN **PROGRAMMING** (EDUCATIONAL. VOCATIONAL, LIFE SKILLS, **TRANSITIONAL** EMPLOYMENT)

81%

PRACTICED AND APPLIED CBT

84%

WHO PRACTICED **CBT MADE MEASURABLE IMPROVEMENTS IN BEHAVIORAL** HEALTH

> 84% HAD

NO NEW ARRESTS

IN FY 2021 **ROCA SERVED 186**

YOUNG MOTHERS AND 240 CHILDREN

FROM 11 MASSACHUSETTS
COMMUNITIES

90%

RETAINED IN THE MODELTHROUGHOUT THE YEAR

95%

PRACTICED AND APPLIED CBT

73%

WHO PRACTICED CBT MADE

MEASURABLE IMPROVEMENTS

IN BEHAVIORAL HEALTH

90%

DEMONSTRATED WORKFORCE READINESS GAINS

74%

OF MOTHERS ENROLLED 2+ YEARS
PLACED IN OUTSIDE JOBS

87%

DELAYED FURTHER
PREGNANCIES
BEFORE THE AGE OF 25



YOUNG MOTHERS' PROGRAM

NOW SERVING **HARTFORD, CT**AND 11 MASSACHUSETTS COMMUNITIES.

Roca's young mothers' program is a high-touch, trauma-informed intervention for one of the hardest groups to reach: young women at the center of urban violence. We specialize in serving 16-24 year old mothers who are untrusting victims of trauma and violence and are fearful of losing their children.

We thank the City of Hartford, Dalio Education, the Hartford Foundation for Public Giving, the Tow Foundation, and Santander Bank for this incredible opportunity to work in Hartford as of Fall 2021.

ONLINE
Hear from Briana, a Roca young mother: https://rocainc.org/who-we-are/voices/

74

When I needed their help, they actually helped me out a lot. Me, I'm impulsive, so at Roca we do a lot of coping skills and how to talk with people, how to be under pressure, you know?

-SANDRA, ROCA YOUNG MOTHER



4

Rewire allows my officers a way when they are going into a situation to be clear-minded, to be present, to stop, to be in the moment.

-POLICE LIEUTENANT



EXPANDING OUR IMPACT

THE ROCA IMPACT INSTITUTE BUILDS
THE CAPACITY OF ORGANIZATIONS
THAT WORK WITH YOUNG PEOPLE MOST
LIKELY TO SHOOT OR BE SHOT.

The Roca Impact Institute provides intensive coaching to support our partners in changing minds and practices to disrupt cycles of incarceration, poverty, and racism. The work of the **Roca Impact Institute** is grounded in the *CREDIBILITY* of our unique, time-tested intervention model, our *SPECIALIZED EXPERTISE* in violence intervention, our *RELENTLESS FOCUS* on those most likely to commit violence, and our innovative approach, which recognizes that people working at the center of urban violence also experience and feel *THE IMPACT OF TRAUMA*.

WHAT WE OFFER

- Focus on the young people most likely to shoot or be shot, especially through effective program design and theory-ofchange planning.
- Proven trauma-informed interventions such as Rewire CBT, relentless outreach, transformational relationships and restorative circles.
- Prioritization of safety and accountability through supervision, performance management, and risk mitigation.
- Focused cross-system coordination to reduce violence among young people who are the hardest to reach.





INSTITUTE HIGHLIGHTS

CLIENTS IN 4 STATES

200+

PEOPLE FROM

15 AGENCIES TRAINED

IN REWIRE IN YEAR 1

100%

OF SURVEY
RESPONDENTS
SAID THEY WOULD
RECOMMEND REWIRE

OUR CLIENTS

- Police departments
- Corrections departments
- State juvenile service and juvenile justice departments
- Divisions of parole and probation
- Hospital violence response teams
- Youth violence prevention programs
- Community-based organizations
- Community violence intervention programs
- Local and national foundations

HANK YOU

\$1 MILLION +

The Abell Foundation, Inc* The Annie E. Casev Foundation*

Anonymous Arnold Ventures, LLC*

Bank of America*

BGE (Baltimore Gas and Electric)*

Bill and Melinda Gates Foundation*

Brown Capital Management*

City of Baltimore*

Dalio Education* Exelon Corporate*

Foundation*

Services*

Fish Family Foundation Harry and Jeanette Weinberg

Johns Hopkins University*

Maryland Department of Health

Massachusetts Department of Public

Massachusetts Department of

Transitional Assistance

Massachusetts Executive Office of Health and Human Services*

Massachusetts Executive Office of Public Safety and Security*

The Whiting-Turner Contracting

Company* T. Rowe Price Foundation*

US Department of Justice*

Youth Services, Inc.*

\$100,000 TO \$999,999

Alvarium Foundation Arabella Advisors

Barr Foundation

Baystate Medical Center Merrimack Valley Charles Hayden Foundation

\$10.000 TO \$99.999

Amelia Peabody Charitable Fund Amelia Peabody Foundation

Howard Brown

Brown Advisory

Susan Okie Bush

Employment Services

City of Chicopee

City of Everett

City of Revere

City of Salem

James Davies

Lili Elkins

Devonshire Foundation

Fidelity Investments

Family Foundation

Essex Community Foundation

Maggie Moore and Michael Fleisher

Stew and Patricia Chapin

Charity Fund

Bushrod H Campbell and Adah F Hall

Capital Group Co Charitable Foundation

Anonymous

Balise Auto

Beacon Communities LLC Combined Jewish Philanthropies Bennett Family Foundation

Deloitte Consulting LLP

Commonwealth of Massachusetts

City of Springfield

City of Boston

City of Chelsea

City of Holyoke

City of Lynn

City of Cambridge

Blue Cross Blue Shield of Massachusetts Essex County Sheriff's Department* Foundation

Everytown USA

Fidelity Foundation*

Fondation Chanel, Inc*

France-Merrick Foundation

Hartford Foundation for Public Giving* Irene and George Davis Foundation*

Jacob and Hilda Blaustein Foundation* Center for Alternative Sentencing and

JC Kellogg Foundation*

John Hancock Financial Services*

Klarman Family Foundation* The Kraft Family Foundation, Inc.

The Leonard and Helen R. Stulman

Charitable Foundation*

Liberty Mutual Foundation City of Somerville Clavton Baker Trust

Maryland Department of Transitional Assistance

Comcast Massachusetts Department of Children Community Growth Partners

and Families

Porticus Foundation*

Silicon Valley Community Foundation State Street Foundation

TisBest Philanthropy

Tow Foundation

United Way of Massachusetts Bay and Helen Ford Frederick C. Lutze and Christian Rausch New England Patriots Social Impact Fund

Furtherance Fund Gisela B Hogan Foundation

Shira Goodman

Goulston and Storrs Mark and Jeanne Haggerty

leffrey and Nancy Halis

Blue Cross Blue Shield Corporation Harbor House Collective Highland Partners Charitable Fund

Hoffberger Foundation

Holvoke Landscaping Barbara and Amos Hostetter

Hubspot

Jake and Beth Jacobs Joanna Jacobson

Janey Fund

Carl and Ruth Shapiro Foundation John H. & H. Naomi Tomfohrde

Foundation

Al and Diane Kaneb

Stephen and Andrea Kaneb

Keith Construction Inc. Christine and William Kendall

Lewis Family Foundation

Dan and Kerry Lyons M&T Bank

Maguire Mechanical Services

Massachusetts Covid-19 Relief Fund Massachusetts Department of Conservation and Recreation

MassMutual

MassMutual Foundation

Mariorie Bride and Terry McEnany Alexandra and Luke Maynard

Merritt Companies

Mountain View Howard Cohen and Mvra Musicant

New Commonwealth Racial Equity and Social Justice Fund

NIKE. Inc.

Nordblom Family Foundation

Bob O'Neill

Greg Torres and Betsy Pattullo Randy Peeler

Project Safe Neighborhoods Virginia and James Purviance

REDF

Safety Insurance Charitable Foundation. Inc.

Santander Bank

Sills Family Foundation

The Boston Foundation

The Linehan Family Foundation, Inc.

The M&T Charitable Foundation

The Miriam Fund

Tower Foundation

Venable LLP Walker & Dunlop

Jason Weissmann

Work 'N Gear

\$1,000 TO \$9,999

AAA Northeast **AAFCPAs** Abine Inc.

Anonymous

Oechsle Family Foundation

PwC Charitable Foundation

Rockport Mortgage

Nancy Savage

Southway Builders Charitable Trust. Inc.

State Street Corporation **TD Charitable Foundation**

The Annie E. Casey Foundation

The Roy A. Hunt Foundation

Winter-Lehman Family Foundation

ROCA DONORS - CONTINUED

Michael and Christensen Dunn East Boston Savings Bank Fastern Bank Charitable Foundation Sandra Edwards Dan and Paula Eggers Paul English Equity Resource Investments (ERI)

F.L. Putnam Investment Management

Company

Jeff Feingold

Leslie Fenn

Fernway, LLC

Wavne Ferrari

Ferrari Foundation

Fitzgerald & Company, Inc.

Martha Martin and Stephen Foell

First Parish of Concord

Timothy and Keith Forde

Michael and Sara Foster

William and Helena Foulkes

David and Tracey Frankel

Bedford Charitable Foundation

Fmily and Andrew Freedman

John and Janelle Gallagher

Ben and Karen Gardner

Lauren Sanchez Gilbert

Caroline Gaudet

Goldman Sachs

Gordini Gloves

Goulston & Storrs

Will and Kim Gravlin

Sarah and Josh Greenhill

Amy Gould

Frederick H. Bedford Jr. and Margaret S.

Gardiner Howland Shaw Foundation

Gibson Sotheby's International Realty

Brian Fitzgerald

John Flannery

Johnnie Foreman

Emerson Foster

Martha Frahm

Caroline and John Erhard The Architectural Team Mark Keroack and Ann Errichetti Carson Berglund and Amanda Duncan and Sharon Evered

Armstrong Jav and Susan Ash Aspen Community Foundation

Susan Baker Greg Price and Margaret Barusch

Darren Battistoni

Ethan Berkwits

Wade Rubinstein and Jill Block Dave and Cassie Bordeau

Sarah and Glenn Bergenfield

Boston Public Health Commission Janet Callahan

Capital Group

Chris and Alvss Cavanagh Lachman Chablani, MD and Nancy

Chablani

Molly Baldwin and Ray Champagne

Pete Christie Chubb

Dan Clements John Cole

Commonwealth Care Alliance Steven and Alexi Conine Continental Realty Corporation

Sarah McCrary and Fernando Contreras Paul Cordilico Alison Damaskos Kim and Judith Davis

Demoulas Market Basket Neil Desai

Carla DeSantis

Dianne Doherty Paul Doherty and Dianne Fuller Doherty Steven Dorr

Fredve and Adam Gross John Grossman, Katherine Hobart Olmsted and Eliza Grossman GS Gives Annual Fund Elisabeth Shue and Davis Guggenheim

Meredith Christensen and Brian Halligan Hanover Insurance Group Robert Hansel

Harmon Foundation, Inc. Harvard Pilgrim Health Care Foundation Frederick and Deborah Hatch

Mary Hathaway Timothy and Dana Hathaway

Scott Heines Annie Hildreth

Andrea Phillips and Paul Hinton Dan Holland

Hope and Comfort Rachel Schoenfeld and Daniel Hyman

Andrew and Cindy Janower

Angie Janssen

Josh and Marian Johnson Robert Johnson

Philip Johnston Angela Jones John and Holly Kania

Katherine and David Greenberg Charitable Trust

Kavem Foods Inc. Kim and Mueller Family Fund Sandor Hau and Eleanore Kim

Steve Klein Ann Houston and Henry Korman Nigel Kraus

Nathan Krol Seth and Cynthia Lawry L'Chaim Fund

Leonhardt Family Fund

Matthew Lesniak Leveling the Playing Field Liberty Mutual Insurance Cynthia Livingstone

Lovett Woodsum Charitable Foundation Lovett-Woodsum Foundation

Kenneth Salinger Scharffenberg

Rebecca Searles

SEI Investments

Kathleen Dowd and Thomas McCann

James and Kathy McHugh

McKenzie Vault Helen Mever Jennifer Milan Harry Miller

Lululemon

Rob Mancuso

Mark Mangini

Hiren Mankodi

Jaime and Geoff Mason

MAXIMUS Foundation

Rosemary McCutchen

Sean McDermott

Dennis McGurk

Sara Martin

Kiran Mukunda Richard and Mary Murnane

Joshua Murphy

Moses Kimball Fund

The Neighborhood Developers New World Foundation

Saniay and Lauren Newton The Oestreicher Family Charitable Gift

Carolyn and Kevin O'Keefe Ed Orazem

Louis and Laura Pakula People's United Community Foundation

George Philippou Pioneer Development **Emily and Drew Pluhar**

Race Against Racism Susannah Rienhoff Janice Baldwin and Laurie Robarge

Plymouth Rock Assurance Corporation

Dwight Robson and Lena Robinson **Edward Robitaille** Stephanie Rodriguez-Ruiz Joe and Kelly Rogers

Garv Ronkin Lvnn and John Rov William Ryan

David Safier

Scott, Avery, Chloe and Lisa

Santha Parke and Brooke Schnabel

Jessica and Nikuni Shah Bradley Shapiro

John and Jody Shue Megan Smith

Song Foundation Wilson Souza Lewis Spence

TD Bank The TJX Companies, Inc.

Bharat Talwar

Michael Thonis

Tides Foundation Timberland

United Way of Central Maryland **USI Insurance Services LLC**

Maria Valles Verizon

Wellesley College

Andrew Wetzel

Sandra G. Whitley Frederick Whitridge

> Sheila Willard William and Mildred Kaplan Foundation Sarah and Mark Williamson

> > Womens Benevolent Society Denise Wright Leerang Yang Dominic Murata Yu Amy Zeldes

\$0 - \$999

Nora Zizlsperge

Mark Znutas

A.C. Israel Foundation, Inc. Ronald Abdow Joshua Abrams

Thomas Abt lan Aceti David and Darlene Adair

Marla Adelsberger

Mashivat Ahmed

Michael Albano

Donna Allard-Flett

Alexander Alvanos

Christopher Alvanos

Rick Ames

Anonymous

Anonymous

Anonymous

Michael Ansara

Joseph Antolin

Maria Arvelo

Ray and Kathleen Arthur

Daniella Bacigalupa

Rebecca Baier

Lawrence Bailis

Bethany Baker

William Baker

Seavron Banus

Vincenzo Barletta

Miriam Barshak

Katherine Bartter

Rachel Belanger

Alexander Belman

Noah Bein

Constance and Robert Baesel

Michael and Sally Bailin

Robert and Dawn Barkman

William and Lisa Barrette

Nathan Rome and Bonnie Alpert

Laurel Black Julia Blatt

Marie Blouin Mary Kate and Richard Bluestein

> Leah Bodin Flizabeth Bollier

Teresa Birge

Joseph and Jennifer Bombard

Michelle Booth Tref Borden Marc and Kim Boucher

Thomas Bozzell Susan and James Brady

Amy Brandt

Kaitlin Brennan

John Brothers

John Breuer Walter Brewster

Sarah and Sarah Aspinwall Mary Brown Mary-Alice Austin Michael Brown

Michael Avitzur Andrea Bryla and Benjamin Bryla Jr. Shawn Avlward Harry Burch

> Joanne Burke Bryan Burnette

Jim Burger

Karvn Wilson and Miles Byrne Daniel Cadet

Nicole Caliguire Bridget Callahan John Campion

> Alicia Cannon Steven Canter Courtney Capute Caras & Shulman PC

Jennifer Cardillo Paul and Nancy Carew Patrice Carlisle

Nancy Benchoff Margaret Carsley Mark Benninger Catalano Architects & Catalano Design

Jeffrey Bergquist James Cassetta Carolyn Bess Anisha Chablani-Medley Sunindiva Bhalla

Charities Aid Foundation of America

www.rocainc.org | 21

Karen Carreira

20 | www.rocainc.org

Tom Prior

Louise Neidle

Kathie Tayne

Andrew Seligsohn

Ronald Weich

The Charles F. & Margaret M.H. Obrecht	Daniel Diana Jr. and Vanessa Diana	Frank Gallagher	Matthew Hartman	Kathy Juda	Libbey-Clarke Family Fund	Margaret McConnell	Robin and Jeffrey Neipp	PSH Fund	Patrick Sesselman	Diane Teichert	Sanford and Leslie Weiner
Family Foundation	John Dickenson	Gallagher Family Fund	Noelle and Adam Hartshorn	Jonathan Judd	Library Commons, LP	Terrance Mcconnico	Cindy Nell	Gordon PulsiferDeborah Queiros	Elisabeth Setzler	Porter Terry	David Weinhaus
Michael and Carolyn Chase	Stephanie Doan	First Church of Christ in Longmeadow	Samuel Harvey	Katherine Kaplan	Margaret Lindenburg	Ruth McDermott	Taryn Nelson	Joan Quinlan	Alison Sheppard	Ginelle Testa	Susan Weinstock
Audria Chea	Josh Dohan	Frank and Dianne Gallo	Celine and Shariff Hassan	Raphael Karkowsky	Lynn Linsky	Deborah McDonagh	Network For Good	Christine Rago and Francis Rago Jr.	Dan Sherman	R Kramer Theodorou	Caralyn Welliver
Charles and Lael Chester	Edward Doherty	Charles Gamper	Nancy and Paul Haugsjaa	Giovanna Karlovic	W. Geoffrey Little	Merry McDonald	Eileen Ng	Lucy Ralston	Robert Sherman	Patricia and Dick Thivierge	Westfield Housing Authority
Geno Chiavelli	Lisa Dolan	Tiffany Garner	David Haupt	William and Judith Kates	Christopher and Heidi Logan	Patricia McGowan	Andrew O'Brien	Frederic Randall	Ashley Sherwin	Ashleigh Thomas	Westfield State University
Margaret Chow-Menzer	Julia Donnelly	Jim Garvey	Carolyn Moss and Daniel Hawkins	Alison Kear	Lois Garrett Logan	Kayleigh McHugh	Clare O'Brien	Amit Rao	Mara Shulman	Lynn Thomas	William James College
Lindsey Christensen	Sandra Dow	Lindsey Gaudet	John Hanson and Kathleen Hayes	Kennedy Kearney-Fischer	Peter Loge	Taylor McIntire	Martha O'Brien	Kat Ravish	Joanne and Gerd Siller	Lisa Thompas	Benny Wheat
Angela and Greg Christiana	Maureen Dowd	Stephen and Barbara Gelling	Louise Hayman	Ryan and Alyssa Keefe	Marilyn and Timothy Lonczak	Decourcy McIntosh	David O'Callaghan	Nancy Gertner and John Reinstein	Rachel Silverman	Cierra Thompson	Elizabeth Wheat
Chloe Christiansen	Patricia Dowd	Lori Gerstley	Meghan Hazer	Jeffrey Keehn	Carl Sussman and Laura Lubetsky	Sean McKay	Colin O'Dwyer	Katie Remont	Paul Simon	John Tobin	Bob Whelan
Maria Clarice Chua	George Downie	Chessin Gertler	David Heitlinger	Donald and Nancy Kendall	Monica Luke	Ann McKeown	Maire O'Keefe	Kerry Ressler	Sharone Small	Stacie Tobin	Michele Whelley
Colleen Clifford	Elizabeth Drori	John and Jane Gettler	Lucia Henriquez	Kelly Kendall	Bryan Lumpkins	Kathleen and Bruce McLaughlin	Stephanie Sanchez Olaya	Jennifer Reyes	Alexandra Smith	William and Mary Toller	Caroline Whistler
Patricia Cmielewski	Mary Dupouy	Sheila Giglio	George Hess Jr.	Sally Kerans	Ling Ly	Cory McLean	John O'Malley	Rina Rhyne	Chip Smith	Tom Toman	Thomas and Kathleen White
Karyn Cohen	East Boston Neighborhood Health Center	Iva Gillet	Lashunda Hill	Robert Kinscherff	Patrick and Pamela Lynam	Mike McLively	Brenda Onguti	katherine richardson	Jillian Smith-Lakow	Nancy Tran	Jennifer Whitman
Richard Cole	Elizabeth Eastwood	Gillette	Carla Hinson	Barry Kirshon	Lynn Housing Authority	Wendy McNeil	Lianne O'Reilly	Rochelle Robbins	Amy Sneider	Ernest Tremblay and Ann Marie Tremblay	Jean Whitney
Christopher Colgin	Tracy and Robert Eccles	Ryan Gillette	Leslie Hinson	Kiwanis Club of Chelsea	David Lyons	Joseph McOsker	George Packard	Rita Roberto	Nathan Snow	Steve and Donna Tritman	Eve Wider
Deidre Collins	Pam Eddinger	Lenore Glaser	Priscilla Hoblitzell	Eric Kjellberg	Lane and Wendy MacDonald	Cheryl McSweeney	Chris Paduano	Andrea Robichaud	Elizabeth and Garz Soule	Marc Trudeau	Thomas Wilkinson
Sheila Collopy	Rachel Edwards	Thomas Glasheen	Peter and Lisa Hoffberger	C Bayard Klimasmith	Barbara Mackey	Mehta Family Charitable Fund	Ronald and Denise Padykula	Diane and Jay Robinson	Patricia Soule	Todd and Jill Tsakiris	Diane Willcutts
Thomas and Nancy Collopy	Courtenay Elder	Erica Gordon	Wendy Hollis	Amy Kokas	Ted Madden	Patricia Merlos	Liz Page	Rockpoint Group Charitable Gift Fund	Katie Speede	Tufts Health Plan Foundation	Blair Anaman Williams
Commonwealth Corporation	Sandra Eltringham	Christopher Granese	Krystal and Daniel Holmes	Gina Kos	Doris and Eric Madsen	Shelley Metzenbaum	David Palubicki	Allan and Sandra Ropper	Spencer Foundation	Julie Tuman	Donna Williams
Connecticut Institute for Refugees and	Glenn Engler	Lisa Gravelle	Helen Horigan	Nancy Kramer	Cherie Maguire	Allison Taff Migel	Matt Pani	Benjamin Rosenbaum	Jessica Stadt	Adrea Turner	Abigail Williamson
Immigrants, Inc.	Anthony Falvo	Greater Hartford Legal Aid Attorneys	Lorraine Horman	Jean Krasnow	Vahan Mahdasian	David Mindlin	Anne Marie Papandrea	llan Roth	Robert Stalker	Adam Tuttle	Meghan Wilmot
Elizabeth and Michael Connelly	Maria Farina	Union	Mary Hosman	David Krinsky	Cate Mahoney	Jennifer Mirken	Therese and Gerard Parr	Olivia Russell	Ann K Watkin Statham	David Twohig	Kristyn Wink
Judy Cormack	Gillian Farguhar	Ann Green	Holiday and Philip Houck	Alison Kronstadt	Christopher Maier	Katie Mitchell	Jean Patterson	Mary Rzasa	Barbara Stechenberg	Sara Tyburski	Christian Wise
Martin Corry	Matthew Feinberg	Bruce Waslick and Terri Griffin	Frederick Hough	Pamela Krueger	Michal Makarovich	William and Janet Monagle	Adam and Maggie Paul	Roseanne Saalfield	Jody Steiner	Carol Tye	James Wolf
Mary Cox	Andrew Ferguson	Jillian Griswold	Francis Morris and Ellen Howard	Mary Ann Kuhnert	Meghan Maloney	Magnus Monroe	Elizabeth Peabody	Stephen Salsbury	Elisabeth Steinfeld	Fabiola Valencia	David Wolgin
R. Creighton	Stephanie Fidel	Matt Gross	Liwei Hua	Kevin LaCroix	Evelyn Manning	Tom Montgomery	Gerald Pech	Janice Santo	Andrew Steneri	Pamela VanCott	Jodi Wolin
Alexander Crothers	Deborah Hirschland Fine	Allen and Jane Grossman	Mary and Ed Hudson	John and Patrick Lafond	Christine, Stephen and John Martin	Elizabeth De Montigny	Elizabeth Peck	Albert Santoro	Steve's Red Carpet Service	Danielle Veith	Irene Wong
David Crowley	Maggie Finnegan	Debbi Haacke	Dana Hunt	Sindhu Lakshmanan	Rebecca Martin	Jackie Moore	Brian Peek	Taciane Santos	Stephanie and Matthew Strand	Robert Verrier	Susan Woodman
Mark Culliton	Alex Fisher	Matthew Haas	Don Hunt	Katherine Landesman	Ashley Martineau	Jim Moran	Annie Peirce	Anthony Scalese	Suffield Little League	The Viridity Group	J M Woody
Kathleen and Tom Curran	Alice Fisher	Kathleen Habeeb	Cheryl Hurley	James and Michelle Lapides	Marisa Masciadrelli	Meghan Moran	Stephen Penick	Alison Schary	Suffield Middle School	Patricia Vitullo	Meghan Wynne
Kieran Cusack	William Fitzgerald	Molly Hale	Eileen Hurley	Michael Lauer	Jordana Mather	Susan Moriarty	Anne Perkins	Barbara Schenk	Chloe Sugino	Michelle Volpe	Stephen Yoder
Alice Daly	Jaqueline and Stanley Fleischman	Andy Hall	Stephen Hurley	Peter and Peter Lawson-Johnston	Charlo Maurer	Rebecca and Daniel Morris	Ruth Perlin	Marvin and Linda Schoenhals	Michael Sugrue	Bryce Walburn	Philip Zampini
Clinton and Diana Daly	Peter and Kathleen Forbes	Andrea Hamilton	Margarita Iglesia	Leyla and Daniel Layman	Alexandra Mavrogiannidis	Marie Morris-Chaloux	Cathy Permut	Jeffrey Scholnick	Kimberly Sullivan	Deborah Wald	Nicholas Zaremba
Anja Shafer-Davidson and Jeff Davidson	Chester and Ann Franczyk	James Hanks	Rita Ingersoll	Kerri Leahy	Terri Mawdsley	Weston Muench	Richard Persche	Nathaniel Schorr	Joseph Surette	Lauren Wallace	Eileen Zeller
Nina Davidson	Marisa Frank	Nadia Harden	Collette Ingram	The Learning Project Inc. Elementary	Julio Mazul	Chris Mullins	Andrea Pessolano	Robert Schram	Rei Suzuki	Karen Waller	Darcy Ziegler
Susan Day	Ellen Frechette	Mike Haroz	Xavier Jackson	School	McAllister Intermediate School	Terrence and Nicole Murray	Katrina Petrosino	Kathleen Schultz	Elizabeth Swihart	Philipp Walzer	Marianne Znoj
Robert DeLeo	Rachel Freed	Catherine Harris	Sarah Jalbert	Ronnie Leavitt	Georgia McArtney				Susan Swisher	Julie Warsowe	Sharon Znoj-McCarthy
Alice Dembner	Karen Freedman	Diane Harris	Karen Jason	Edward and Elizabeth LeClair		Robert Najjar	Gregory and Karen Piekarski	Chris Scontras	Suzanne Swope	Thomas and Jenny Washburne	Sharon Zhoj Piccartny
Roland Eavey and Sheila Desmond	Frances Froehlich	David Hart	Natalie Johnson	Rebekka Lee	McCarter & English LLP Shamus and Claudine McBride	Maggie Keohan Name David Nathan	Katherine Pisano Todd Polikoff	Kate Searle	Cecelia Tarsia	Mary E Watkin	
Rosalind Devine	David Fry	John Hart	lan Jones	Andrea Lemon		Brook Negussie		Kelsey Seger	Avary Taylor	Charles and Louise Weed	
Allison Dhuy	David Try	Datrick Hart	י י י י י י י י י י י י י י י י י י י	Martha and Dohort Lomp	nancy mccarrick	DI UUK NEGUSSIE	Timothy Everett and Lucy Potter	Taylor Seidel	Avaiy layloi	Charles and Foalse Meed	

Patrick Hart

Joseph Jordan

Meredith Funston

Martha and Robert Lemp

Jane McClard

ROCA BOARDS AND ADVISORS

BOARD OF DIRECTORS

CHRISTINE KENDALL

President of the Board Founding Partner SmarterGive

CHIEF MICHAEL DAVIS

Co-Vice President
Vice President for Campus Safety,

Chief of Police, Northeastern University

MAGGIE MOORE

Co-Vice President of the Board Former Vice President Goldman Sachs

JOSHUA REED-DIAWUOH

Co-Treasurer of the Board Founder and CEO GRIA Food Co.

DWIGHT ROBSON

Co-Treasurer of the Board
Co-Founder and Principal
Preston Group Strategic Advisors

JAY ASH

President and CEO
Massachusetts Competitive
Partnership

STEWART CHAPIN

Trustee
Bennett Family Foundation

BRIAN J. FITZGERALD

President and CEO
Fitzgerald & Company, Inc.

BEN GARDNER

SVP Sales
Array Behavioral Care

TIFFANY GARNER

Community Violence Initiative State Manager Giffords: Courage to Fight Gun Violence

ANGIE JANSSEN

CEO Donii

LAUREN SANCHEZ GILBERT, ED.D

BellXcel

HIREN MANKODI

Managing Director
Charlesbank Capital Partners

ROBERT WALLACE

Founder
BITHGROUP Technologies

GREG TORRES

Honorary Board Member President MassINC

MOLLY BALDWIN

Founder & Chief Executive Officer Roca, Inc.

BOARD OF MANAGERS FOR ROCA BALTIMORE

BRANVILLE BARD, JR.

Vice President for Public Safety Johns Hopkins University

MARC BROADY

Vice President of Community Affairs Weller Development

STEVE BUTZ

Chairman
Superstar Foundation

CHRIS DUNCAN

Manager of Customer Care BGE

DANIEL L. EGGERS

Senior Vice President of Corporate Finance Exelon Corporation

DUNCAN J. EVERED

Managing Director/Senior Portfolio Manager Brown Capital Management

TIFFANY GARNER

Community Violence Initiative State Manager Giffords

ROB JOHNSON

Attorney

LOUIS PAKULA

Owner Roso & Pakula

SUNNY SCHNITZER

Director
Mayor's Office of Criminal Justice

ANDRE DAVIS

Retired Federal Judge

MOLLY BALDWIN

Founder & Chief Executive Officer

ROCA IMPACT INSTITUTE ADVISORY BOARD

ANA BERMUDEZ

Commissioner
NYC Department of Probation

ANDRE DAVIS

Former City Solicitor
City of Baltimore

LAUREN SANCHEZ GILBERT, ED.D

CEO BellXcel

CHIEF MICHAEL DAVIS

Vice President for Campus Safety Chief of Police, Northeastern University

-ISH, ROCA CHELSEA PARTICIPANT

44

I am a young man

A person with great ideas and big plans

I was born in the U.S.

But my origins

Are from other lands

I've fallen many times, but here I still

Stand

So curious and open-minded

I just want to understand

I got so much life ahead of me

I forgot I was

A Young Man

FINANCIALS

The selected financial information represents the results of the combining operations of Roca, Inc., Pallin Youth Center, Inc., and Roca Baltimore, LLC.

Pallin Youth Center is an affiliated not-for-profit corporation of Roca, Inc., formed in 1996 as a 501(c)(2) of the Internal Revenue Code for the sole purpose of holding title to property. Roca Baltimore, LLC is a fully consolidated legal entity that provides the same services in Baltimore as are provided in Massachusetts. Each shares a common Board of Directors with Roca, Inc. Combining statements is required under Generally Accepted Accounting Principles.

FY 2021 CONSOLIDATED REVENUES (in millions)



ROCA, INC., PALLIN YOUTH CENTER, INC., & ROCA BALTIMORE, LLC

ROCA, INC., PALLIN YOUTH CENTER, INC., & ROCA BALTIMORE, LLC

FY 2021 CONSOLIDATED EXPENSES (in millions)



The list is representative of the board members and officers at the time of publication.





WWW.ROCAINC.ORG

CHELSEA

101 Park St. Chelsea, MA 02150 Tel 617-889-5210 chelsea@rocainc.com

SPRINGFIELD

29 School St. Springfield, MA 01105 Tel 413-846-4301 springfield@rocainc.com

BOSTON

845 Albany St. Boston, MA 02119 Tel 617-442-3101 boston@rocainc.com

LYNN

52 Andrew St. Lynn, MA 01901 Tel 781-780-9611 lynn@rocainc.com

HOLYOKE

384 High St.
Holyoke, MA 01040
Tel 413-437-7714
holyoke@rocainc.com

HARTFORD

75 Charter Oak Ave. Building #1, Suite 102 Hartford, CT 06106 hartford@rocainc.com

BALTIMORE

880 Park Ave., Ste. 200 Baltimore, MD 21201 Tel 443-439-4100 baltimore@rocainc.com